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CHAPTER 210

INTERNATIONAL TRADE (FAUNA AND FLORA)

Act 56 of 1989

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SCHEDULE – Convention on International Trade in Endangered Species of Wild Fauna and Flora

INTERNATIONAL TRADE (FAUNA AND FLORA)

An Act to implement the Republic of Vanuatu's obligations as a party to the Convention on International Trade in Endangered Species of Wild Fauna and Flora signed at Washington on 3 March 1973 by controlling and regulating the exportation and importation of certain species of fauna and flora, and for related purposes.

PART 1 – PRELIMINARY

1. Interpretation

(1) In this Act, unless the context otherwise requires –

"Appendix I specimen" means a specimen of a species included in Appendix I to the Convention;

"Appendix II specimen" means a specimen of a species included in Appendix II to the Convention;

"Appendix III specimen" means a specimen of a species included in Appendix III to the Convention;

"Convention" means the Convention on International Trade in Endangered Species of Wild Fauna and Flora, done at Washington in the United States of America on 3 March 1973, a copy of which (except for Appendix III) is set out in the Schedule hereto*;

"export permit" means –

(a) where the Management Authority issues the permit – an export permit, issued in accordance with the relevant section of the Act; or

(b) where the relevant authority of another country a party to the Convention issues the permit – an export permit, issued by that relevant authority in accordance with the Convention and the laws of that country; or

(c) where another country not a party to the Convention issues the permit – a document issued by the competent authorities of that country which substantially conforms with the requirements of the Convention in respect of export permits;

"import" does not include introduction from the sea;

"import permit" means –

(a) where the Management Authority issues the permit – an import permit issued in accordance with the relevant section of the Act; or

(b) where the relevant authority of another country a party to the Convention issues the permit – an import permit issued by that relevant authority in accordance with the Convention and the laws of that country; or

(c) where another country not a party to the Convention issues the permit – a document issued by the competent authorities of that country which

* *Editor's note: Appendices I, II and III to the Convention are subject to frequent amendment and are included in the electronic version of the Consolidated Edition 2006 only. These are current to June 2005 and binding upon Vanuatu (as are future amendments, subject to a reservation being made).*

substantially conforms with the requirements of the Convention in respect of import permits;

"the Management Authority" means –

(a) the person, body or body of persons designated by the Minister to be the Management Authority for the Republic of Vanuatu in accordance with Article IX of the Convention; or

(b) in relation to any particular fauna or flora to which this Act applies, where two or more Management Authorities have been so designated – the Management Authority specified by the Minister as the relevant Management Authority in respect of the fauna or flora;

"Minister" means the Minister for the time being responsible for the environment and its conservation;

"re-export permit" means –

(a) where the Management Authority issues the permit – a re-export permit issued in accordance with the relevant section of the Act; or

(b) where the relevant authority of another country a party to the Convention issues the permit – a re-export permit issued by that relevant authority in accordance with the Convention and the laws of that country; or

(c) where another country not a party to the Convention issues the permit – a document issued by the competent authorities of that country which substantially conforms with the requirements of the Convention in respect of export permits.

(2) The Management Authority shall, in addition to the powers, duties and functions vested in it by this Act, exercise the powers, duties and functions of the Scientific Authority as provided for in the Convention.

(3) Unless the contrary intention appears, words and expressions used in this Act have the same meaning as they have in the Convention.

2. Minister's power to amend Schedule

(1) The Minister may, subject to the prior approval of the Council of Ministers, by Order amend the Schedule in conformity with any amendment made to the Convention that is adopted by the Republic of Vanuatu.

(2) Any order made under this section may contain such consequential, supplemental or ancillary provisions as appear to the Minister to be necessary or expedient for the purpose of giving due effect to the amendment adopted and, without prejudice to the generality of the foregoing, may contain provisions amending references in this Act to specific provisions of the Convention.

(3) Where the Schedule to this Act is amended pursuant to this section, any reference in this Act or any other instrument to the Convention shall, unless the context otherwise requires, be construed as a reference to the Convention so amended.

3. Saving of other laws

The provisions of this Act are in addition to and not in derogation of the operation of any other law in force in the Republic of Vanuatu.

PART 2 – TRADE IN APPENDIX I SPECIMENS

4. Exportation of Appendix I specimen

(1) Subject to section 15, a person shall not export an Appendix I specimen unless he has an export permit to do so issued by the Management Authority.

(2) The Management Authority shall not issue an export permit in respect of an Appendix I specimen unless it is satisfied that –

(a) the specimen was not obtained in contravention of a law in force in Vanuatu for the protection of fauna and flora; and

(b) if the specimen is living – it will be prepared and shipped in a manner that will minimize the risk of injury, damage to its health or cruel treatment; and

(c) the country to which the specimen is to be exported has issued an import permit for the importation of that specimen; and

(d) the exportation of the specimen will not be detrimental to the survival of the species involved.

5. Importation of Appendix I specimen

(1) Subject to section 15, a person shall not import an Appendix I specimen unless he has, in respect of that specimen –

(a) an export permit or a re-export permit issued by the country from which the specimen has been exported or re-exported; and

(b) an import permit issued by the Management Authority.

(2) The Management Authority shall not issued an import permit in respect of an Appendix I specimen unless it is satisfied that –

(a) the specimen is not to be used for primarily commercial purposes; and

(b) the importation will be for purposes that are not detrimental to the survival of the species involved; and

(c) if the specimen is living – the proposed recipient of the specimen is suitably equipped to house and care for it.

6. Re-exportation of Appendix I specimen

(1) A person shall not re-export an Appendix I specimen unless he has a re-export permit to do so issued by the Management Authority.

(2) The Management Authority shall not issue a re-export permit in respect of an Appendix I specimen unless it is satisfied that –

(a) the specimen was not brought into Vanuatu in contravention of this Act; and

(b) if the specimen is living – it shall be prepared and shipped in a manner that shall minimize the risk of injury, damage to its health or cruel treatment; and

(c) the country to which the specimen is to be exported has issued an import permit for the importation of that specimen.

7. Introduction from the sea of Appendix I specimen

(1) A person shall not introduce into Vanuatu from the sea an Appendix I specimen unless he has a certificate to do so issued by the Management Authority.

(2) The Management Authority shall not issue a certificate for the introduction into Vanuatu from the sea of an Appendix I specimen unless it is satisfied that –

(a) if the specimen is living – the proposed recipient of the specimen is suitably equipped to house and care for it; and

(b) the specimen is not to be used for primarily commercial purposes; and

(c) the introduction shall not be detrimental to the survival of the species involved.

PART 3 – TRADE IN APPENDIX II SPECIMENS

8. Exportation of Appendix II specimen

(1) Subject to section 15, a person shall not export an appendix II specimen unless he has an export permit to do so issued by the Management Authority.

(2) The Management Authority shall not issue an export permit in respect of an Appendix II specimen unless it is satisfied that –

(a) the specimen was not obtained in contravention of a law in force in Vanuatu for the protection of fauna and flora; and

(b) if the specimen is living – it shall be prepared and shipped in a manner for the protection of fauna and flora; and

(c) the exportation of the specimen shall not be detrimental to the survival of the species involved.

9. Importation of Appendix II specimen

Subject to section 15, a person shall not import an Appendix II specimen unless he has an export permit or re-export permit issued by the country from which the specimen has been exported or re-exported.

10. Re-exportation of Appendix II specimen

(1) A person shall not re-export and Appendix II specimen unless he has a re-export permit to do so issued by the Management Authority.

(2) The Management Authority shall not issue a re-export permit in respect of an Appendix II specimen unless it is satisfied that –

(a) the specimen was not brought into the country in contravention of this Act; and

(b) if the specimen is living – it shall be prepared and shipped in a manner that shall minimize the risk of injury, damage to its health or cruel treatment.

11. Introduction from the sea of Appendix II specimen

(1) A person shall not introduce into Vanuatu from the sea an Appendix II specimen unless he has a certificate to do so issued by the Management Authority.

(2) The Management Authority shall not issue a certificate for the introduction into Vanuatu from the sea of an Appendix II specimen unless it is satisfied that –

(a) if the specimen is living, it shall be prepared so as to minimize the risk of injury, damage to its health or cruel treatment; and

(b) the introduction shall not be detrimental to the survival of the species involved.

PART 4 – TRADE IN APPENDIX III SPECIMENS

12. Exportation of Appendix III specimen

(1) A person shall not export an Appendix III specimen where the species to which that specimen belongs has been included in Appendix III to the Convention by the

Republic of Vanuatu unless he has an export permit to do so issued by the Management Authority.

(2) The Management Authority shall not issue an export permit in respect of an Appendix III specimen to which subsection (1) applies unless it is satisfied that –

(a) the specimen was not obtained in contravention of a law for the protection of fauna and flora in force in Vanuatu; and

(b) if the specimen is living – it shall be prepared and shipped in a manner that shall minimize the risk of injury, damage to its health or cruel treatment.

13. Importation of Appendix III specimen

A person shall not import an Appendix III specimen unless he has, in respect of that specimen –

(a) a certificate of origin; and

(b) where the specimen has been imported from a country that had included the species to which that specimen belongs in Appendix III to the Convention, an export permit issued by that country.

PART 5 – MISCELLANEOUS

14. Application for the grant of permit

(1) An application for the grant of a permit shall be made to the Management Authority in the prescribed form.

(2) The Management Authority may on payment of the prescribed fee grant a permit to the applicant.

15. Exemptions

The provisions of Parts 2, 3 and 4 of this Act shall not apply to –

(a) the transit or transshipment of specimens through or in Vanuatu while the specimens remain in customs control;

(b) a specimen that was acquired before this Act came into operation, provided that the Management Authority is satisfied that the specimen was acquired before the provisions of this Act applied to them and issues a certificate to that effect;

(c) specimens that are personal or household effects;

(d) non-commercial exchange between scientists or scientific institutions, provided that such scientists or scientific institutions in Vanuatu, have been approved by the Management Authority and in another state, have been registered by the relevant Management Authority.

16. False information

A person who, for the purpose of this Act, knowingly or with intention to deceive, provides to the Management Authority information –

(a) that is false or misleading in a material particulars; or

(b) that he does not have reasonable cause to believe is correct,

is guilty of an offence.

Penalty: A fine not exceeding VT 500,000 or imprisonment for a term not exceeding 12 months or to both such fine and imprisonment.

17. General penalty

(1) Any person who acts in contravention or fails to comply with the provisions of this Act for which no penalty is provided is guilty of an offence and shall be liable on conviction to a fine not exceeding VT 1 million or to imprisonment for a term not exceeding two years or to both such fine and imprisonment.

(2) Any person who acts in contravention or fails to comply with the provisions of any regulation made under this Act or the conditions of a permit for which no penalty is provided is guilty of an offence and shall be liable on conviction to a fine not exceeding VT 50,000 or to imprisonment for a term not exceeding 3 months or to both such fine and imprisonment.

18. Seizure and forfeiture of specimen, etc.

(1) Any police or customs officer or any other officer authorized by the Management Authority may seize specimen of any species to which an offence has been or is being committed against this Act or any regulation made under this Act.

(2) Where any person is convicted of an offence against this Act or any regulation made under this Act, the Court may order that any specimen of any species to which the conviction relates together with all the receptacles containing such specimen to such species, shall be forfeited to the State.

(3) Everything so forfeited to the State shall be disposed of as the Management Authority directs.

19. Regulations

(1) The Minister may make regulations for the purpose of carrying out or giving effect to this Act and in particular, for prescribing –

(a) the forms to be used for the purposes of this Act and the Convention; and

(b) the fees to be paid in respect of –

(i) services provided and obligations carried out y the Management Authority under this Act; and

(ii) permits or certificates issued under this Act; and

(c) penalties of fines not exceeding VT 50,000 for offences against the regulations; and

(d) any other matters that by this Act are required or permitted to be prescribed or that are necessary or convenient to be prescribed for carrying our or giving effect to this Act.

(2) The Minister may, in consultation with the Management Authority –

(a) for the purpose of protecting native species of flora and fauna from predation, make regulations prohibiting the landing of any species or any specimen of species of fauna and flora not naturally occurring in Vanuatu;

(b) for the purpose of preventing or controlling international trade in endangered species of wild fauna and flora, make regulations prohibiting the landing of specified species or specimen of species of fauna and flora from any place or country outside Vanuatu.

SCHEDULE

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

The Contracting States,

Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing, in addition, that international co-operation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;

Convinced of the urgency of taking appropriate measures to this end; *Have agreed* as follows:

Article I

Definitions

For the purpose of the present Convention, unless the context otherwise requires:

(a) "Species" means any species, subspecies, or geographically separate population thereof;

(b) "Specimen" means:

(i) any animal or plant, whether alive or dead;

(ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and

(iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;

(c) "Trade" means export, re-export, import and introduction from the sea;

(d) "Re-export" means export of any specimen that has previously been imported;

(e) "Introduction from the sea" means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;

(f) "Scientific Authority" means a national scientific authority designated in accordance with Article IX;

(g) "Management Authority" means a national management authority designated in accordance with Article IX;

(h) "Party" means a State for which the present Convention has entered into force.

Article II

Fundamental Principles

1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.

2. Appendix II shall include:

(a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and

(b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.

3. Appendix III shall include all species which any Party identifies as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the co-operation of other Parties in the control of trade.

4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

Article III

Regulation of Trade in Specimens of Species Included in Appendix I

1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

   (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;

   (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;

   (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and

   (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.

3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:

   (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;

   (b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and

   (c) a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.

4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

   (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;

   (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and

   (c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.

5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

   (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;

   (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and

   (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

Article IV

Regulation of Trade in Specimens of Species Included in Appendix II

1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

   (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;

   (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and

   (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the

Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.

4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.

5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

   (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and

   (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

   (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and

   (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.

7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

Article V

Regulation of Trade in Specimens of Species Included in Appendix III

1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

   (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and

   (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.

4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

Article VI

Permits and Certificates

1. Permits and certificates granted under the provisions of Articles III, IV, and V shall be in accordance with the provisions of this Article.

2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.

3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.

4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.

5. A separate permit or certificate shall be required for each consignment of specimens.

6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.

7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

Article VII

Exemptions and Other Special Provisions Relating to Trade

1. The provisions of Articles III, IV and V shall not apply to the transit or transhipment of specimens through or in the territory of a Party while the specimens remain in Customs control.
2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.
3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:
   (a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
   (b) in the case of specimens of species included in Appendix II:
      (i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
      (ii) they are being imported into the owner's State of usual residence; and
      (iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens; unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.
4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.
5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Article III, IV or V.
6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.
7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:
   (a) the exporter or importer registers full details of such specimens with that Management Authority;
   (b) the specimens are in either of the categories specified in paragraph 2 or 5 of this Article; and (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

Article VIII

Measures to Be Taken by the Parties

1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:
   (a) to penalize trade in, or possession of, such specimens, or both; and
   (b) to provide for the confiscation or return to the State of export of such specimens.
2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.
3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living

specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.

4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:

   (a) the specimen shall be entrusted to a Management Authority of the State of confiscation;

   (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and

   (c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.

6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:

   (a) the names and addresses of exporters and importers; and

   (b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question.

7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:

   (a) an annual report containing a summary of the information specified in sub-paragraph (b) of paragraph 6 of this Article; and

   (b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.

8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

Article IX

Management and Scientific Authorities

1. Each Party shall designate for the purposes of the present Convention:

   (a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and

   (b) one or more Scientific Authorities.

2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.

3. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.

4. Any Management Authority referred to in paragraph 2 of this Article shall, if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

Article X

Trade with States not Party to the Convention

Where export or re-export is to, or import is from, a State not a Party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

Article XI

Conference of the Parties

1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.

2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meetings at any time on the written request of at least one-third of the Parties.

3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:

   (a) make such provision as may be necessary to enable the Secretariat to carry out its duties, and adopt financial provisions;

   (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;

   (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;

   (d) receive and consider any reports presented by the Secretariat or by any Party; and

   (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.

4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.

5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.

6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.

7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object:

   (a) international agencies or bodies, either governmental or non-governmental, and national governmental agencies and bodies; and

   (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located. Once admitted, these observers shall have the right to participate but not to vote.

Article XII

The Secretariat

1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.

2. The functions of the Secretariat shall be:

   (a) to arrange for and service meetings of the Parties;

   (b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;

   (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;

   (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;

   (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;

   (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;

   (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;

   (h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;

   (i) to perform any other function as may be entrusted to it by the Parties.

Article XIII

International Measures

1. When the Secretariat in the light of information received is satisfied that any species included in Appendix I or II is being affected adversely by trade in specimens of that species or that the provisions of the present

Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.

3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

Article XIV

Effect on Domestic Legislation and International Conventions

1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:

   (a) stricter domestic measures regarding the conditions for trade, taking, possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or

   (b) domestic measures restricting or prohibiting trade, taking, possession or transport of species not included in Appendix I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external Customs control and removing Customs control between the parties thereto insofar as they relate to trade among the States members of that union or agreement.

4. A State party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

Article XV

Amendments to Appendices I and II

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

   (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.

   (b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

   (c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:

(a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.

(b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.

(c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.

(d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraph (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.

(e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible. (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

(g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h) , (i) and (j) of this paragraph.

(h) The Secretariat shall notify the Parties that notification of objection has been received.

(i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.

(j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.

(k) The Secretariat shall notify all Parties of the result of the vote.

(l) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (1) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

Article XVI

Appendix III and Amendments thereto

1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.

2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.

3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.

4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for

as long as the species in question is included in Appendix III, submit any amendments of such laws and regulations or any interpretations as they are adopted.

Article XVII

Amendment of the Convention

1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.
3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

Article XVIII

Resolution of Disputes

1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.
2. If the dispute can not be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague, and the Parties submitting the dispute shall be bound by the arbitral decision.

Article XIX

Signature

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

Article XX

Ratification, Acceptance, Approval

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

Article XXI

Accession

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

Article XXII

Entry into Force

1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.
2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

Article XXIII

Reservations

1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.
2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:
   (a) any species included in Appendix I, II or III; or

(b) any parts or derivatives specified in relation to a species included in Appendix III.

3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a Party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

Article XXIV

Denunciation

Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

Article XXV

Depositary

1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.

2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.

3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

In witness whereof the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

Done at Washington this third day of March, One Thousand Nine Hundred and Seventy-three.

Appendices		
I	**II**	**III**
F A U N A (ANIMALS) **P H Y L U M C H O R D A T A** **CLASS MAMMALIA** **(MAMMALS)**		
MONOTREMATA		
Tachyglossidae Echidnas, spiny anteaters		
	***Zaglossus* spp.**	
DASYUROMORPHIA		
Dasyuridae Dunnarts		
Sminthopsis longicaudata ***Sminthopsis psammophila***		
Thylacinidae Tasmanian wolf, thylacine		
Thylacinus cynocephalus (possibly extinct)		
PERAMELEMORPHIA		
Peramelidae Bandicoots		
Chaeropus ecaudatus (possibly extinct) ***Macrotis lagotis*** ***Macrotis leucura*** ***Perameles bougainville***		
DIPROTODONTIA		
Phalangeridae Cuscuses		
	Phalanger orientalis ***Spilocuscus maculatus***	
Vombatidae Northern hairy-nosed wombat		
Lasiorhinus krefftii		
Macropodidae Kangaroos, wallabies		
Lagorchestes hirsutus ***Lagostrophus fasciatus*** ***Onychogalea fraenata*** ***Onychogalea lunata***	***Dendrolagus inustus*** ***Dendrolagus ursinus***	
Potoroidae Rat-kangaroos		
Bettongia* spp.** ***Caloprymnus campestris (possibly extinct)		
SCANDENTIA		
Tupaiidae Tree shrews		
	Tupaiidae spp.	
CHIROPTERA		
Phyllostomidae Broad-nosed bat		
		Platyrrhinus lineatus (Uruguay)
Pteropodidae Fruit bats, flying foxes		
	***Acerodon* spp.** (Except the species included in Appendix I)	

Appendices		
I	**II**	**III**
Acerodon jubatus ***Acerodon lucifer*** (possibly extinct) ***Pteropus insularis*** ***Pteropus mariannus*** ***Pteropus molossinus*** ***Pteropus phaeocephalus*** ***Pteropus pilosus*** ***Pteropus samoensis*** ***Pteropus tonganus***	***Pteropus* spp.** (Except the species included in Appendix I)	
PRIMATES Apes, monkeys		
	PRIMATES spp. (Except the species included in Appendix I)	
Lemuridae Large lemurs		
Lemuridae spp.		
Megaladapidae Sportive lemurs		
Megaladapidae spp. (possibly extinct)		
Cheirogaleidae Dwarf lemurs		
Cheirogaleidae spp.		
Indridae Avahi, indris, sifakas, woolly lemurs		
Indridae spp.		
Daubentoniidae Aye-aye		
Daubentonia madagascariensis		
Callitrichidae Marmosets, tamarins		
Callimico goeldii ***Callithrix aurita*** ***Callithrix flaviceps*** ***Leontopithecus* spp.** ***Saguinus bicolor*** ***Saguinus geoffroyi*** ***Saguinus leucopus*** ***Saguinus oedipus***		
Cebidae New World monkeys		
Alouatta coibensis ***Alouatta palliata*** ***Alouatta pigra*** ***Ateles geoffroyi frontatus*** ***Ateles geoffroyi panamensis*** ***Brachyteles arachnoides*** ***Cacajao* spp.** ***Chiropotes albinasus*** ***Lagothrix flavicauda*** ***Saimiri oerstedii***		

Appendices		
I	**II**	**III**
Cercopithecidae Old World monkeys		
Cercocebus galeritus galeritus ***Cercopithecus diana*** ***Macaca silenus*** ***Mandrillus leucophaeus*** ***Mandrillus sphinx*** ***Nasalis concolor*** ***Nasalis larvatus*** ***Presbytis potenziani*** ***Procolobus pennantii kirkii*** ***Procolobus rufomitratus*** ***Pygathrix* spp.** ***Semnopithecus entellus*** ***Trachypithecus geei*** ***Trachypithecus pileatus***		
Hylobatidae Gibbons		
Hylobatidae spp.		
Hominidae Chimpanzees, gorilla, orang-utan		
Gorilla gorilla ***Pan* spp.** ***Pongo pygmaeus***		
XENARTHRA		
Myrmecophagidae American anteaters		
	Myrmecophaga tridactyla	***Tamandua mexicana*** (Guatemala)
Bradypodidae Three-toed sloth		
	Bradypus variegatus	
Megalonychidae Two-toed sloth		
		Choloepus hoffmanni (Costa Rica)
Dasypodidae Armadillos		
Priodontes maximus	***Chaetophractus nationi*** (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly)	***Cabassous centralis*** (Costa Rica) ***Cabassous tatouay*** (Uruguay)

Appendices		
I	**II**	**III**
PHOLIDOTA		
Manidae Pangolins		
	***Manis* spp.** (A zero annual export quota has been established for *Manis crassicaudata*, *M. javanica* and *M. pentadactyla* for specimens removed from the wild and traded for primarily commercial purposes)	
LAGOMORPHA		
Leporidae Hispid hare, volcano rabbit		
Caprolagus hispidus ***Romerolagus diazi***		
RODENTIA		
Sciuridae Ground squirrels, tree squirrels		
Cynomys mexicanus	***Ratufa* spp.**	***Epixerus ebii*** (Ghana) ***Marmota caudata*** (India) ***Marmota himalayana*** (India) ***Sciurus deppei*** (Costa Rica)
Anomaluridae African flying squirrels		
		Anomalurus beecrofti (Ghana) ***Anomalurus derbianus*** (Ghana) ***Anomalurus pelii*** (Ghana) ***Idiurus macrotis*** (Ghana)
Muridae Mice, rats		
Leporillus conditor ***Pseudomys praeconis*** ***Xeromys myoides*** ***Zyzomys pedunculatus***		
Hystricidae Crested porcupine		
		Hystrix cristata (Ghana)
Erethizontidae New World porcupines		
		Sphiggurus mexicanus (Honduras) ***Sphiggurus spinosus*** (Uruguay)
Agoutidae Paca		
		Agouti paca (Honduras)
Dasyproctidae Agouti		
		Dasyprocta punctata (Honduras)

Appendices		
I	**II**	**III**
Chinchillidae Chinchillas		
***Chinchilla* spp.** (Specimens of the domesticated form are not subject to the provisions of the Convention)		
CETACEA Dolphins, porpoises, whales		
	CETACEA spp. (Except the species included in Appendix I. A zero annual export quota has been established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes)	
Platanistidae River dolphins		
Lipotes vexillifer ***Platanista* spp.**		
Ziphiidae Beaked whales, bottle-nosed whales		
***Berardius* spp.** ***Hyperoodon* spp.**		
Physeteridae Sperm whales		
Physeter catodon		
Delphinidae Dolphins		
Orcaella brevirostris ***Sotalia* spp.** ***Sousa* spp.**		
Phocoenidae Porpoises		
Neophocaena phocaenoides ***Phocoena sinus***		
Eschrichtiidae Grey whale		
Eschrichtius robustus		
Balaenopteridae Humpback whale, rorquals		
Balaenoptera acutorostrata (Except the population of West Greenland, which is included in Appendix II) ***Balaenoptera bonaerensis*** ***Balaenoptera borealis*** ***Balaenoptera edeni*** ***Balaenoptera musculus*** ***Balaenoptera physalus*** ***Megaptera novaeangliae***		
Balaenidae Bowhead whale, right whales		
Balaena mysticetus ***Eubalaena* spp.**		
Neobalaenidae Pygmy right whale		
Caperea marginata		

Appendices		
I	**II**	**III**
CARNIVORA		
Canidae Bush dog, foxes, wolves		
Canis lupus (Only the populations of Bhutan, India, Nepal and Pakistan; all other populations are included in Appendix II)	***Canis lupus*** (Except the populations of Bhutan, India, Nepal and Pakistan, which are included in Appendix I) ***Cerdocyon thous*** ***Chrysocyon brachyurus*** ***Cuon alpinus*** ***Pseudalopex culpaeus*** ***Pseudalopex griseus*** ***Pseudalopex gymnocercus***	***Canis aureus*** (India)
Speothos venaticus	***Vulpes cana***	***Vulpes bengalensis*** (India) ***Vulpes vulpes griffithi*** (India) ***Vulpes vulpes montana*** (India) ***Vulpes vulpes pusilla*** (India)
	Vulpes zerda	
Ursidae Bears, pandas		
Ailuropoda melanoleuca ***Ailurus fulgens*** ***Helarctos malayanus*** ***Melursus ursinus*** ***Tremarctos ornatus*** ***Ursus arctos*** (Only the populations of Bhutan, China, Mexico and Mongolia; all other populations are included in Appendix II) ***Ursus arctos isabellinus*** ***Ursus thibetanus***	**Ursidae spp.** (Except the species included in Appendix I)	
Procyonidae Coatis, kinkajou, olingos		
		Bassaricyon gabbii (Costa Rica) ***Bassariscus sumichrasti*** (Costa Rica) ***Nasua narica*** (Honduras) ***Nasua nasua solitaria*** (Uruguay) ***Potos flavus*** (Honduras)

Appendices		
I	**II**	**III**
Mustelidae Badgers, martens, weasels, etc.		
Lutrinae Otters		
Aonyx congicus (Only the populations of Cameroon and Nigeria; all other populations are included in Appendix II) ***Enhydra lutris nereis*** ***Lontra felina*** ***Lontra longicaudis*** ***Lontra provocax*** ***Lutra lutra*** ***Pteronura brasiliensis***	**Lutrinae spp.** (Except the species included in Appendix I)	
Mellivorinae Honey badger		
		Mellivora capensis (Botswana, Ghana)
Mephitinae Hog-nosed skunk		
	Conepatus humboldtii	
Mustelinae Grisons, martens, tayra, weasels		
Mustela nigripes		***Eira barbara*** (Honduras) ***Galictis vittata*** (Costa Rica) ***Martes flavigula*** (India) ***Martes foina intermedia*** (India) ***Martes gwatkinsii*** (India) ***Mustela altaica*** (India) ***Mustela erminea ferghanae*** (India) ***Mustela kathiah*** (India) ***Mustela sibirica*** (India)
Viverridae Binturong, civets, falanouc, fossa, linsangs, otter-civet, palm civets		
Prionodon pardicolor	***Cryptoprocta ferox*** ***Cynogale bennettii*** ***Eupleres goudotii*** ***Fossa fossana*** ***Hemigalus derbyanus*** ***Prionodon linsang***	***Arctictis binturong*** (India) ***Civettictis civetta*** (Botswana) ***Paguma larvata*** (India) ***Paradoxurus hermaphroditus*** (India) ***Paradoxurus jerdoni*** (India) ***Viverra civettina*** (India) ***Viverra zibetha*** (India) ***Viverricula indica*** (India)

Appendices		
I	**II**	**III**
Herpestidae Mongooses		
		Herpestes brachyurus fuscus (India) ***Herpestes edwardsii*** (India) ***Herpestes javanicus auropunctatus*** (India) ***Herpestes smithii*** (India) ***Herpestes urva*** (India) ***Herpestes vitticollis*** (India)
Hyaenidae Aardwolf		
		Proteles cristatus (Botswana)
Felidae Cats		
Acinonyx jubatus (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article III of the Convention) ***Caracal caracal*** (Only the population of Asia; all other populations are included in Appendix II) ***Catopuma temminckii*** ***Felis nigripes*** ***Herpailurus yaguarondi*** (Only the populations of Central and North America; all other populations are included in Appendix II) ***Leopardus pardalis*** ***Leopardus tigrinus*** ***Leopardus wiedii*** ***Lynx pardinus*** ***Neofelis nebulosa*** ***Oncifelis geoffroyi*** ***Oreailurus jacobita*** ***Panthera leo persica*** ***Panthera onca*** ***Panthera pardus*** ***Panthera tigris*** ***Pardofelis marmorata***	**Felidae spp.** (Except the species included in Appendix I. Specimens of the domesticated form are not subject to the provisions of the Convention)	

Appendices		
I	**II**	**III**
Prionailurus bengalensis bengalensis (Only the populations of Bangladesh, India and Thailand; all other populations are included in Appendix II) ***Prionailurus planiceps*** ***Prionailurus rubiginosus*** (Only the population of India; all other populations are included in Appendix II) ***Puma concolor coryi*** ***Puma concolor costaricensis*** ***Puma concolor couguar*** ***Uncia uncia***		
Otariidae Fur seals, sealions		
Arctocephalus townsendi	***Arctocephalus* spp.** (Except the species included in Appendix I)	
Odobenidae Walrus		
		Odobenus rosmarus (Canada)
Phocidae Seals		
***Monachus* spp.**	***Mirounga leonina***	
PROBOSCIDEA		
Elephantidae Elephants		
Elephas maximus ***Loxodonta africana*** (Except the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Appendix II)		

Appendices		
I	**II**	**III**
	Loxodonta africana (Only the populations of **Botswana**[1], **Namibia**[1], **South Africa**[1] and **Zimbabwe**[2]; all other populations are included in Appendix I)	
SIRENIA		
Dugongidae Dugong		
Dugong dugon		
Trichechidae Manatees		
Trichechus inunguis ***Trichechus manatus***	***Trichechus senegalensis***	

[1] Populations of Botswana, Namibia and South Africa (listed in Appendix II):
For the exclusive purpose of allowing:
1) trade in hunting trophies for non-commercial purposes;
2) trade in live animals for *in situ* conservation programmes;
3) trade in hides;
4) trade in leather goods: for non-commercial purposes for Botswana; for commercial or non-commercial purposes for Namibia and South Africa;
5) trade in hair for commercial or non-commercial purposes for Namibia;
6) trade in individually marked and certified ekipas incorporated in finished jewellery for non-commercial purposes for Namibia; and
7) trade in registered raw ivory (for Botswana and Namibia, whole tusks and pieces; for South Africa, whole tusks and cut pieces of ivory that are both 20 cm or more in length and 1 kg or more in weight) subject to the following:
   i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin) and, in the case of South Africa, only ivory originating from the Kruger National Park);
   ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP12) concerning domestic manufacturing and trade;
   iii) not before the Secretariat has verified the prospective importing countries, and the MIKE programme has reported to the Secretariat on the baseline information (e.g. elephant population numbers, incidence of illegal killing);
   iv) a maximum of 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa) of ivory may be traded, and despatched in a single shipment under strict supervision of the Secretariat;
   v) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and
   vi) only after the Standing Committee has agreed that the above conditions have been met.

   On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations.

All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

[2] Population of Zimbabwe (listed in Appendix II):
For the exclusive purpose of allowing:
1) export of hunting trophies for non-commercial purposes;
2) export of live animals to appropriate and acceptable destinations;
3) export of hides; and
4) export of leather goods and ivory carvings for non-commercial purposes.

All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly. To ensure that where a) destinations for live animals are to be appropriate and acceptable and/or b) the purpose of the import is to be non-commercial, export permits and re-export certificates may be issued only after the issuing Management Authority has received, from the Management Authority of the State of import, a certification to the effect that: in case a), in analogy to Article III, paragraph 3 (b) of the Convention, the holding facility has been reviewed by the competent Scientific Authority, and the proposed recipient has been found to be suitably equipped to house and care for the animals; and/or in case b), in analogy to Article III, paragraph 3 (c), the Management Authority is satisfied that the specimens will not be used for primarily commercial purposes.

Appendices		
I	**II**	**III**
PERISSODACTYLA		
Equidae Horses, wild asses, zebras		
Equus africanus (Excludes the domesticated form, which is referenced as *Equus asinus*, and is not subject to the provisions of the Convention) ***Equus grevyi*** ***Equus hemionus hemionus*** ***Equus onager khur*** ***Equus przewalskii*** ***Equus zebra zebra***	***Equus hemionus*** (Except the subspecies included in Appendix I) ***Equus kiang*** ***Equus onager*** (Except the subspecies included in Appendix I) ***Equus zebra hartmannae***	
Tapiridae Tapirs		
Tapiridae spp. (Except the species included in Appendix II)	***Tapirus terrestris***	
Rhinocerotidae Rhinoceroses		
Rhinocerotidae spp. (Except the subspecies included in Appendix II)	***Ceratotherium simum simum*** (Only the populations of South Africa and Swaziland; all other populations are included in Appendix I. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly)	
ARTIODACTYLA		
Tragulidae Mouse-deer		
		Hyemoschus aquaticus (Ghana)
Suidae Babirusa, pygmy hog		
Babyrousa babyrussa ***Sus salvanius***		

Appendices		
I	**II**	**III**
Tayassuidae Peccaries		
Catagonus wagneri	**Tayassuidae spp.** (Except the species included in Appendix I and the populations of *Pecari tajacu* of Mexico and the United States of America, which are not included in the Appendices)	
Hippopotamidae Hippopotamuses		
	Hexaprotodon liberiensis ***Hippopotamus amphibius***	
Camelidae Guanaco, vicuna		
Vicugna vicugna (Except the populations of: Argentina [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; which are included in Appendix II)	***Lama guanicoe***	

Appendices		
I	II	III
	Vicugna vicugna (Only the populations of **Argentina**[3] [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; **Bolivia**[4] [the whole population]; **Chile**[5] [population of the Primera Región]; **Peru**[6] [the whole population]; all other populations are included in Appendix I)	
Moschidae Musk deer		
***Moschus* spp.** (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Appendix II)	***Moschus* spp.** (Except the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Appendix I)	

[3] Population of Argentina (listed in Appendix II):
For the exclusive purpose of allowing international trade in wool sheared from live vicuñas, in cloth, and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the *Convenio para la Conservación y Manejo de la Vicuña*, and the selvages the words 'VICUÑA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUÑA-ARGENTINA-ARTESANÍA'.
All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

[4] Population of Bolivia (listed in Appendix II):
For the exclusive purpose of allowing international trade in: a) wool and products derived therefrom sheared from live animals of the populations of the Conservation Units of Mauri-Desaguadero, Ulla Ulla and Lípez-Chichas; and b) products made from wool sheared from live animals of the rest of the population of Bolivia. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the *Convenio para la Conservación y Manejo de la Vicuña*, and the selvages the words 'VICUÑA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUÑA-BOLIVIA-ARTESANÍA'.
All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

[5] Population of Chile (listed in Appendix II):
For the exclusive purpose of allowing international trade in wool sheared from live vicuñas, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the *Convenio para la Conservación y Manejo de la Vicuña*, and the selvages the words 'VICUÑA-CHILE'. Other products must bear a label including the logotype and the designation 'VICUÑA-CHILE-ARTESANÍA'.
All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

[6] Population of Peru (listed in Appendix II):
For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the *Convenio para la Conservación y Manejo de la Vicuña*, and the selvages the words 'VICUÑA-PERÚ'. Other products must bear a label including the logotype and the designation 'VICUÑA-PERÚ-ARTESANÍA'.
All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

Appendices		
I	II	III
Cervidae Deer, guemals, muntjacs, pudus		
Axis calamianensis		
Axis kuhlii		
Axis porcinus annamiticus		
Blastocerus dichotomus		
Cervus duvaucelii		
	Cervus elaphus bactrianus	
		Cervus elaphus barbarus (Tunisia)
Cervus elaphus hanglu		
Cervus eldii		
Dama mesopotamica		
***Hippocamelus* spp.**		
		Mazama americana cerasina (Guatemala)
Megamuntiacus vuquanghensis		
Muntiacus crinifrons		
		Odocoileus virginianus mayensis (Guatemala)
Ozotoceros bezoarticus		
	Pudu mephistophiles	
Pudu puda		
Antilocapridae Pronghorn		
Antilocapra americana (Only the population of Mexico; no other population is included in the Appendices)		
Bovidae Antelopes, cattle, duikers, gazelles, goats, sheep, etc.		
Addax nasomaculatus		
	Ammotragus lervia	
		Antilope cervicapra (Nepal)
	Bison bison athabascae	
Bos gaurus (Excludes the domesticated form, which is referenced as *Bos frontalis*, and is not subject to the provisions of the Convention)		
Bos mutus (Excludes the domesticated form, which is referenced as *Bos grunniens*, and is not subject to the provisions of the Convention)		
Bos sauveli		
		Bubalus arnee (Nepal) (Excludes the domesticated form, which is referenced as *Bubalus bubalis*)
Bubalus depressicornis		
Bubalus mindorensis		
Bubalus quarlesi		

Appendices		
I	II	III
	Budorcas taxicolor	
Capra falconeri		
	Cephalophus dorsalis	
Cephalophus jentinki		
	Cephalophus monticola	
	Cephalophus ogilbyi	
	Cephalophus silvicultor	
	Cephalophus zebra	
		Damaliscus lunatus (Ghana)
	Damaliscus pygargus pygargus	
		Gazella cuvieri (Tunisia)
Gazella dama		
		Gazella dorcas (Tunisia)
		Gazella leptoceros (Tunisia)
Hippotragus niger variani		
	Kobus leche	
Naemorhedus baileyi		
Naemorhedus caudatus		
Naemorhedus goral		
Naemorhedus sumatraensis		
Oryx dammah		
Oryx leucoryx		
	Ovis ammon (Except the subspecies included in Appendix I)	
Ovis ammon hodgsonii		
Ovis ammon nigrimontana		
	Ovis canadensis (Only the population of Mexico; no other population is included in the Appendices)	
Ovis orientalis ophion		
	Ovis vignei (Except the subspecies included in Appendix I)	
Ovis vignei vignei		
Pantholops hodgsonii		
Pseudoryx nghetinhensis		
Rupicapra pyrenaica ornata		
	Saiga tatarica	
		Tetracerus quadricornis (Nepal)
		Tragelaphus eurycerus (Ghana)
		Tragelaphus spekii (Ghana)

Appendices		
I	**II**	**III**
CLASS AVES (BIRDS)		
STRUTHIONIFORMES		
Struthionidae Ostrich		
Struthio camelus (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Appendices)		
RHEIFORMES		
Rheidae Rheas		
Rhea pennata (Except *Rhea pennata pennata* which is included in Appendix II)	***Rhea americana*** ***Rhea pennata pennata***	
TINAMIFORMES		
Tinamidae Tinamou		
Tinamus solitarius		
SPHENISCIFORMES		
Spheniscidae Penguins		
Spheniscus humboldti	***Spheniscus demersus***	
PODICIPEDIFORMES		
Podicipedidae Grebe		
Podilymbus gigas		
PROCELLARIIFORMES		
Diomedeidae Albatross		
Diomedea albatrus		
PELECANIFORMES		
Pelecanidae Pelican		
Pelecanus crispus		
Sulidae Booby		
Papasula abbotti		
Fregatidae Frigatebird		
Fregata andrewsi		
CICONIIFORMES		
Ardeidae Egrets, herons		
		Ardea goliath (Ghana) ***Bubulcus ibis*** (Ghana) ***Casmerodius albus*** (Ghana) ***Egretta garzetta*** (Ghana)
Balaenicipitidae Shoebill, whale-headed stork		
	Balaeniceps rex	

Appendices		
I	**II**	**III**
Ciconiidae Storks		
Ciconia boyciana	***Ciconia nigra***	***Ephippiorhynchus senegalensis*** (Ghana)
Jabiru mycteria		***Leptoptilos crumeniferus*** (Ghana)
Mycteria cinerea		
Threskiornithidae Ibises, spoonbills		
		Bostrychia hagedash (Ghana)
		Bostrychia rara (Ghana)
	Eudocimus ruber	
	Geronticus calvus	
Geronticus eremita		
Nipponia nippon		
	Platalea leucorodia	
		Threskiornis aethiopicus (Ghana)
Phoenicopteridae Flamingos		
	Phoenicopteridae spp.	
ANSERIFORMES		
Anatidae Ducks, geese, swans, etc.		
		Alopochen aegyptiacus (Ghana)
		Anas acuta (Ghana)
Anas aucklandica		
	Anas bernieri	
		Anas capensis (Ghana)
		Anas clypeata (Ghana)
		Anas crecca (Ghana)
	Anas formosa	
Anas laysanensis		
Anas oustaleti		
		Anas penelope (Ghana)
		Anas querquedula (Ghana)
		Aythya nyroca (Ghana)
Branta canadensis leucopareia		
	Branta ruficollis	
Branta sandvicensis		
		Cairina moschata (Honduras)
Cairina scutulata		
	Coscoroba coscoroba	
	Cygnus melanocorypha	
	Dendrocygna arborea	
		Dendrocygna autumnalis (Honduras)

Appendices		
I	**II**	**III**
		Dendrocygna bicolor (Ghana, Honduras) ***Dendrocygna viduata*** (Ghana) ***Nettapus auritus*** (Ghana)
	Oxyura leucocephala	***Plectropterus gambensis*** (Ghana) ***Pteronetta hartlaubii*** (Ghana)
Rhodonessa caryophyllacea (possibly extinct)		
	Sarkidiornis melanotos	
FALCONIFORMES Eagles, falcons, hawks, vultures		
	FALCONIFORMES spp. (Except the species included in Appendices I and III and the species of the family Cathartidae)	
Cathartidae New World vultures		
Gymnogyps californianus		
		Sarcoramphus papa (Honduras)
Vultur gryphus		
Accipitridae Hawks, eagles		
Aquila adalberti ***Aquila heliaca*** ***Chondrohierax uncinatus wilsonii*** ***Haliaeetus albicilla*** ***Harpia harpyja*** ***Pithecophaga jefferyi***		
Falconidae Falcons		
Falco araea ***Falco jugger*** ***Falco newtoni*** (Only the population of Seychelles) ***Falco pelegrinoides*** ***Falco peregrinus*** ***Falco punctatus*** ***Falco rusticolus***		
GALLIFORMES		
Megapodiidae Megapodes, scrubfowl		
Macrocephalon maleo		
Cracidae Chachalacas, currassows, guans		
Crax blumenbachii		***Crax alberti*** (Colombia) ***Crax daubentoni*** (Colombia) ***Crax globulosa*** (Colombia)

Appendices		
I	II	III
		Crax rubra (Colombia, Costa Rica, Guatemala, Honduras)
Mitu mitu		
Oreophasis derbianus		
		Ortalis vetula (Guatemala, Honduras)
		Pauxi pauxi (Colombia)
Penelope albipennis		
		Penelope purpurascens (Honduras)
		Penelopina nigra (Guatemala)
Pipile jacutinga		
Pipile pipile		
Phasianidae Grouse, guineafowl, partridges, pheasants, tragopans		
		Agelastes meleagrides
		Agriocharis ocellata (Guatemala)
		Arborophila charltonii (Malaysia)
		Arborophila orientalis (Malaysia)
	Argusianus argus	
		Caloperdix oculea (Malaysia)
Catreus wallichii		
Colinus virginianus ridgwayi		
Crossoptilon crossoptilon		
Crossoptilon harmani		
Crossoptilon mantchuricum		
	Gallus sonneratii	
	Ithaginis cruentus	
Lophophorus impejanus		
Lophophorus lhuysii		
Lophophorus sclateri		
Lophura edwardsi		
		Lophura erythrophthalma (Malaysia)
		Lophura ignita (Malaysia)
Lophura imperialis		
Lophura swinhoii		
		Melanoperdix nigra (Malaysia)
	Pavo muticus	
	Polyplectron bicalcaratum	

Appendices		
I	II	III
Polyplectron emphanum	***Polyplectron germaini***	***Polyplectron inopinatum*** (Malaysia)
	Polyplectron malacense	
	Polyplectron schleiermacheri	
Rheinardia ocellata		***Rhizothera longirostris*** (Malaysia)
		Rollulus rouloul (Malaysia)
Syrmaticus ellioti		
Syrmaticus humiae		
Syrmaticus mikado		
Tetraogallus caspius		
Tetraogallus tibetanus		
Tragopan blythii		
Tragopan caboti		
Tragopan melanocephalus		
		Tragopan satyra (Nepal)
Tympanuchus cupido attwateri		
GRUIFORMES		
Gruidae Cranes		
	Gruidae spp. (Except the species included in Appendix I)	
Grus americana		
Grus canadensis nesiotes		
Grus canadensis pulla		
Grus japonensis		
Grus leucogeranus		
Grus monacha		
Grus nigricollis		
Grus vipio		
Rallidae Rail		
Gallirallus sylvestris		
Rhynochetidae Kagu		
Rhynochetos jubatus		
Otididae Bustards		
	Otididae spp. (Except the species included in Appendix I)	
Ardeotis nigriceps		
Chlamydotis undulata		
Eupodotis bengalensis		
CHARADRIIFORMES		
Burhinidae Thick-knee		
		Burhinus bistriatus (Guatemala)

Appendices		
I	**II**	**III**
Scolopacidae Curlews, greenshanks		
Numenius borealis ***Numenius tenuirostris*** ***Tringa guttifer***		
Laridae Gull		
Larus relictus		
COLUMBIFORMES		
Columbidae Doves, pigeons		
Caloenas nicobarica ***Ducula mindorensis***	***Gallicolumba luzonica*** ***Goura* spp.**	***Columba guinea*** (Ghana) ***Columba iriditorques*** (Ghana) ***Columba livia*** (Ghana) ***Columba mayeri*** (Mauritius) ***Columba unicincta*** (Ghana) ***Oena capensis*** (Ghana) ***Streptopelia decipiens*** (Ghana) ***Streptopelia roseogrisea*** (Ghana) ***Streptopelia semitorquata*** (Ghana) ***Streptopelia senegalensis*** (Ghana) ***Streptopelia turtur*** (Ghana) ***Streptopelia vinacea*** (Ghana) ***Treron calva*** (Ghana) ***Treron waalia*** (Ghana) ***Turtur abyssinicus*** (Ghana) ***Turtur afer*** (Ghana) ***Turtur brehmeri*** (Ghana) ***Turtur tympanistria*** (Ghana)
PSITTACIFORMES Amazons, cockatoos, lories, lorikeets, macaws, parakeets, parrots		
	PSITTACIFORMES spp. (Except the species included in Appendix I and Appendix III, and excluding *Agapornis roseicollis, Melopsittacus undulatus* and *Nymphicus hollandicus*, which are not included in the Appendices)	
Cacatuidae Cockatoos		
Cacatua goffini ***Cacatua haematuropygia*** ***Cacatua moluccensis*** ***Cacatua sulphurea***		

Appendices		
I	II	III
Probosciger aterrimus		
Loriidae Lories, lorikeets		
Eos histrio		
Vini ultramarina		
Psittacidae Amazons, macaws, parakeets, parrots		
Amazona arausiaca		
Amazona barbadensis		
Amazona brasiliensis		
Amazona finschi		
Amazona guildingii		
Amazona imperialis		
Amazona leucocephala		
Amazona ochrocephala auropalliata		
Amazona ochrocephala belizensis		
Amazona ochrocephala caribaea		
Amazona ochrocephala oratrix		
Amazona ochrocephala parvipes		
Amazona ochrocephala tresmariae		
Amazona pretrei		
Amazona rhodocorytha		
Amazona tucumana		
Amazona versicolor		
Amazona vinacea		
Amazona viridigenalis		
Amazona vittata		
***Anodorhynchus* spp.**		
Ara ambigua		
Ara glaucogularis (Often traded under the incorrect designation *Ara caninde*)		
Ara macao		
Ara militaris		
Ara rubrogenys		
Cyanopsitta spixii		
Cyanoramphus forbesi		
Cyanoramphus novaezelandiae		
Cyclopsitta diophthalma coxeni		
Eunymphicus cornutus		
Geopsittacus occidentalis (possibly extinct)		
Guarouba guarouba		
Neophema chrysogaster		

Appendices		
I	**II**	**III**
Ognorhynchus icterotis ***Pezoporus wallicus*** ***Pionopsitta pileata*** ***Propyrrhura couloni*** ***Propyrrhura maracana*** ***Psephotus chrysopterygius*** ***Psephotus dissimilis*** ***Psephotus pulcherrimus*** (possibly extinct) ***Psittacula echo*** ***Pyrrhura cruentata*** ***Rhynchopsitta* spp.** ***Strigops habroptilus***		***Psittacula krameri*** (Ghana)
CUCULIFORMES		
Musophagidae Turacos		
	Musophaga porphyreolopha ***Tauraco* spp.**	***Corythaeola cristata*** (Ghana) ***Crinifer piscator*** (Ghana) ***Musophaga violacea*** (Ghana)
STRIGIFORMES Owls		
	STRIGIFORMES spp. (Except the species included in Appendix I)	
Tytonidae Barn owl		
Tyto soumagnei		
Strigidae Owls		
Athene blewitti ***Mimizuku gurneyi*** ***Ninox novaeseelandiae undulata*** ***Ninox squamipila natalis***		
APODIFORMES		
Trochilidae Hummingbirds		
  Glaucis dohrnii	**Trochilidae spp.** (Except the species included in Appendix I)	
TROGONIFORMES		
Trogonidae Quetzal		
Pharomachrus mocinno		
CORACIIFORMES		
Bucerotidae Hornbills		
  Aceros nipalensis ***Aceros subruficollis***	***Aceros* spp.** (Except the species included in Appendix I)	

Appendices		
I	**II**	**III**
	***Anorrhinus* spp.** ***Anthracoceros* spp.** ***Buceros* spp.** (Except the species included in Appendix I)	
Buceros bicornis ***Buceros vigil***		
	***Penelopides* spp.**	
PICIFORMES		
Capitonidae Barbet		
		Semnornis ramphastinus (Colombia)
Ramphastidae Toucans		
		Baillonius bailloni (Argentina)
	Pteroglossus aracari	
		Pteroglossus castanotis (Argentina)
	Pteroglossus viridis	
		Ramphastos dicolorus (Argentina)
	Ramphastos sulfuratus ***Ramphastos toco*** ***Ramphastos tucanus*** ***Ramphastos vitellinus***	
		Selenidera maculirostris (Argentina)
Picidae Woodpeckers		
Campephilus imperialis ***Dryocopus javensis richardsi***		
PASSERIFORMES		
Cotingidae Cotingas		
		Cephalopterus ornatus (Colombia) ***Cephalopterus penduliger*** (Colombia)
Cotinga maculata		
	***Rupicola* spp.**	
Xipholena atropurpurea		
Pittidae Pittas		
	Pitta guajana	
Pitta gurneyi ***Pitta kochi***		
	Pitta nympha	
Atrichornithidae Scrub-bird		
Atrichornis clamosus		

Appendices		
I	**II**	**III**
Hirundinidae Martin		
Pseudochelidon sirintarae		
Pycnonotidae Bulbul		
	Pycnonotus zeylanicus	
Muscicapidae Old World flycatchers		
Dasyornis broadbenti litoralis (possibly extinct) ***Dasyornis longirostris*** ***Picathartes gymnocephalus*** ***Picathartes oreas***	***Cyornis ruckii*** ***Garrulax canorus*** ***Leiothrix argentauris*** ***Leiothrix lutea*** ***Liocichla omeiensis***	***Bebrornis rodericanus*** (Mauritius) ***Terpsiphone bourbonnensis*** (Mauritius)
Zosteropidae White-eye		
Zosterops albogularis		
Meliphagidae Honeyeater		
Lichenostomus melanops cassidix		
Emberizidae Cardinals, tanagers		
	Gubernatrix cristata ***Paroaria capitata*** ***Paroaria coronata*** ***Tangara fastuosa***	
Icteridae Blackbird		
Agelaius flavus		
Fringillidae Finches		
Carduelis cucullata	***Carduelis yarrellii***	***Serinus canicapillus*** (Ghana) ***Serinus leucopygius*** (Ghana) ***Serinus mozambicus*** (Ghana)
Estrildidae Mannikins, waxbills		
	Amandava formosa	***Amadina fasciata*** (Ghana) ***Amandava subflava*** (Ghana) ***Estrilda astrild*** (Ghana) ***Estrilda caerulescens*** (Ghana) ***Estrilda melpoda*** (Ghana) ***Estrilda troglodytes*** (Ghana) ***Lagonosticta rara*** (Ghana)

Appendices		
I	II	III
		Lagonosticta rubricata (Ghana) ***Lagonosticta rufopicta*** (Ghana) ***Lagonosticta senegala*** (Ghana) ***Lagonosticta vinacea*** (Ghana) ***Lonchura bicolor*** (Ghana) ***Lonchura cantans*** (Ghana) ***Lonchura cucullata*** (Ghana) ***Lonchura fringilloides*** (Ghana) ***Mandingoa nitidula*** (Ghana) ***Nesocharis capistrata*** (Ghana) ***Nigrita bicolor*** (Ghana) ***Nigrita canicapilla*** (Ghana) ***Nigrita fusconota*** (Ghana) ***Nigrita luteifrons*** (Ghana) ***Ortygospiza atricollis*** (Ghana)
	Padda oryzivora	
		Parmoptila rubrifrons (Ghana) ***Pholidornis rushiae*** (Ghana)
	Poephila cincta cincta	
		Pyrenestes ostrinus (Ghana) ***Pytilia hypogrammica*** (Ghana) ***Pytilia phoenicoptera*** (Ghana) ***Spermophaga haematina*** (Ghana) ***Uraeginthus bengalus*** (Ghana)
Ploceidae Weavers, whydahs		
		Amblyospiza albifrons
		Anaplectes rubriceps (Ghana) ***Anomalospiza imberbis*** (Ghana) ***Bubalornis albirostris*** (Ghana)

Appendices		
I	**II**	**III**
		Euplectes afer (Ghana) ***Euplectes ardens*** (Ghana) ***Euplectes franciscanus*** (Ghana) ***Euplectes hordeaceus*** (Ghana) ***Euplectes macrourus*** (Ghana) ***Malimbus cassini*** (Ghana) ***Malimbus malimbicus*** (Ghana) ***Malimbus nitens*** (Ghana) ***Malimbus rubricollis*** (Ghana) ***Malimbus scutatus*** (Ghana) ***Pachyphantes superciliosus*** (Ghana) ***Passer griseus*** (Ghana) ***Petronia dentata*** (Ghana) ***Plocepasser superciliosus*** (Ghana) ***Ploceus albinucha*** (Ghana) ***Ploceus aurantius*** (Ghana) ***Ploceus cucullatus*** (Ghana) ***Ploceus heuglini*** (Ghana) ***Ploceus luteolus*** (Ghana) ***Ploceus melanocephalus*** (Ghana) ***Ploceus nigerrimus*** (Ghana) ***Ploceus nigricollis*** (Ghana) ***Ploceus pelzelni*** (Ghana) ***Ploceus preussi*** (Ghana) ***Ploceus tricolor*** (Ghana) ***Ploceus vitellinus*** (Ghana) ***Quelea erythrops*** (Ghana) ***Sporopipes frontalis*** (Ghana) ***Vidua chalybeata*** (Ghana) ***Vidua interjecta*** (Ghana) ***Vidua larvaticola*** (Ghana) ***Vidua macroura*** (Ghana) ***Vidua orientalis*** (Ghana) ***Vidua raricola*** (Ghana) ***Vidua togoensis*** (Ghana) ***Vidua wilsoni*** (Ghana)
Sturnidae Mynahs (Starlings)		
Leucopsar rothschildi	***Gracula religiosa***	
Paradisaeidae Birds of paradise		
	Paradisaeidae spp.	

Appendices		
I	II	III
CLASS REPTILIA (REPTILES)		
TESTUDINES		
Dermatemydidae Central American river turtle		
	Dermatemys mawii	
Platysternidae Big-headed turtle		
	Platysternon megacephalum	
Emydidae Box turtles, freshwater turtles		
	Annamemys annamensis	
Batagur baska		
	Callagur borneoensis	
		Chinemys megalocephala (China)
		Chinemys nigricans (China)
		Chinemys reevesii (China)
	Clemmys insculpta	
Clemmys muhlenbergi		
	***Cuora* spp.**	
Geoclemys hamiltonii		
		Geoemyda spengleri (China)
	Heosemys depressa	
	Heosemys grandis	
	Heosemys leytensis	
	Heosemys spinosa	
	Hieremys annandalii	
	***Kachuga* spp.** (Except the species included in Appendix I)	
Kachuga tecta		
	Leucocephalon yuwonoi	
	Malayemys subtrijuga	
		Mauremys iversoni (China)
	Mauremys mutica	
		Mauremys pritchardi (China)
Melanochelys tricarinata		
Morenia ocellata		
	Notochelys platynota	
		Ocadia glyphistoma (China)
		Ocadia philippeni (China)
		Ocadia sinensis (China)
	Orlitia borneensis	
	Pyxidea mouhotii	
		Sacalia bealei (China)
		Sacalia pseudocellata (China)
		Sacalia quadriocellata (China)
	Siebenrockiella crassicollis	

Appendices		
I	**II**	**III**
Terrapene coahuila	***Terrapene* spp.** (Except the species included in Appendix I)	
Testudinidae Tortoises		
Geochelone nigra ***Geochelone radiata*** ***Geochelone yniphora*** ***Gopherus flavomarginatus*** ***Psammobates geometricus*** ***Pyxis arachnoides*** ***Pyxis planicauda*** ***Testudo kleinmanni*** ***Testudo werneri***	**Testudinidae spp.** (Except the species included in Appendix I. A zero annual export quota has been established for *Geochelone sulcata* for specimens removed from the wild and traded for primarily commercial purposes)	
Cheloniidae Marine turtles		
Cheloniidae spp.		
Dermochelyidae Leatherback turtle		
Dermochelys coriacea		
Carettochelyidae Pig-nosed turtles		
	Carettochelys insculpta	
Trionychidae Softshell turtles, terrapins		
Apalone ater ***Aspideretes gangeticus*** ***Aspideretes hurum*** ***Aspideretes nigricans***	***Amyda cartilaginea*** ***Chitra* spp.** ***Lissemys punctata*** ***Pelochelys* spp.**	***Palea steindachneri*** (China) ***Pelodiscus axenaria*** (China) ***Pelodiscus maackii*** (China) ***Pelodiscus parviformis*** (China) ***Rafetus swinhoei*** (China) ***Trionyx triunguis*** (Ghana)
Pelomedusidae Afro-American side-necked turtles		
	Erymnochelys madagascariensis	***Pelomedusa subrufa*** (Ghana)

Appendices		
I	II	III
	Peltocephalus dumeriliana	***Pelusios adansonii*** (Ghana) ***Pelusios castaneus*** (Ghana) ***Pelusios gabonensis*** (Ghana) ***Pelusios niger*** (Ghana)
	***Podocnemis* spp.**	
Chelidae Austro-American side-necked turtles		
Pseudemydura umbrina	***Chelodina mccordi***	
CROCODYLIA Alligators, caimans, crocodiles		
	CROCODYLIA spp. (Except the species included in Appendix I)	
Alligatoridae Alligators, caimans		
Alligator sinensis ***Caiman crocodilus apaporiensis*** ***Caiman latirostris*** (Except the population of Argentina, which is included in Appendix II) ***Melanosuchus niger*** (Except the population of Ecuador, which is included in Appendix II, and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)		
Crocodylidae Crocodiles		
Crocodylus acutus (Except the population of Cuba, which is included in Appendix II) ***Crocodylus cataphractus*** ***Crocodylus intermedius*** ***Crocodylus mindorensis*** ***Crocodylus moreletii*** ***Crocodylus niloticus*** [Except the populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania (subject to an annual export quota of no more than 1,600 wild specimens including hunting trophies, in addition to ranched specimens), Zambia and Zimbabwe, which are included in Appendix II]		

Appendices		
I	**II**	**III**
Crocodylus palustris ***Crocodylus porosus*** (Except the populations of Australia, Indonesia and Papua New Guinea, which are included in Appendix II) ***Crocodylus rhombifer*** ***Crocodylus siamensis*** ***Osteolaemus tetraspis*** ***Tomistoma schlegelii***		
Gavialidae Gavial		
Gavialis gangeticus		
RHYNCHOCEPHALIA		
Sphenodontidae Tuatara		
***Sphenodon* spp.**		
SAURIA		
Gekkonidae Geckos		
	Cyrtodactylus serpensinsula ***Phelsuma* spp.** ***Uroplatus* spp.**	 ***Hoplodactylus* spp.** (New Zealand) ***Naultinus* spp.** (New Zealand)
Agamidae Agamas, mastigures		
	***Uromastyx* spp.**	
Chamaeleonidae Chameleons		
Brookesia perarmata	***Bradypodion* spp.** ***Brookesia* spp.** (Except the species included in Appendix I) ***Calumma* spp.** ***Chamaeleo* spp.** ***Furcifer* spp.**	
Iguanidae Iguanas		
Brachylophus* spp.** ***Cyclura* spp.** ***Sauromalus varius	***Amblyrhynchus cristatus*** ***Conolophus* spp.** ***Iguana* spp.** ***Phrynosoma coronatum***	
Lacertidae Lizards		
Gallotia simonyi	 ***Podarcis lilfordi*** ***Podarcis pityusensis***	

Appendices		
I	**II**	**III**
Cordylidae Spiny-tailed lizards		
	***Cordylus* spp.**	
Teiidae Caiman lizards, tegu lizards		
	Crocodilurus amazonicus ***Dracaena* spp.** ***Tupinambis* spp.**	
Scincidae Skink		
	Corucia zebrata	
Xenosauridae Chinese crocodile lizard		
	Shinisaurus crocodilurus	
Helodermatidae Beaded lizard, gila monster		
	***Heloderma* spp.**	
Varanidae Monitor lizards		
Varanus bengalensis ***Varanus flavescens*** ***Varanus griseus*** ***Varanus komodoensis*** ***Varanus nebulosus***	***Varanus* spp.** (Except the species included in Appendix I)	
SERPENTES Snakes		
Loxocemidae Mexican dwarf boa		
	Loxocemidae spp.	
Pythonidae Pythons		
Python molurus molurus	**Pythonidae spp.** (Except the subspecies included in Appendix I)	
Boidae Boas		
Acrantophis* spp.** ***Boa constrictor occidentalis ***Epicrates inornatus*** ***Epicrates monensis*** ***Epicrates subflavus*** ***Sanzinia madagascariensis***	**Boidae spp.** (Except the species included in Appendix I)	
Bolyeriidae Round Island boas		
Bolyeria multocarinata ***Casarea dussumieri***	**Bolyeriidae spp.** (Except the species included in Appendix I)	
Tropidophiidae Wood boas		
	Tropidophiidae spp.	

Appendices		
I	**II**	**III**
Colubridae Typical snakes, water snakes, whipsnakes		
		Atretium schistosum (India) ***Cerberus rhynchops*** (India)
	Clelia clelia ***Cyclagras gigas*** ***Elachistodon westermanni*** ***Ptyas mucosus***	
		Xenochrophis piscator (India)
Elapidae Cobras, coral snakes		
	Hoplocephalus bungaroides	
		Micrurus diastema (Honduras) ***Micrurus nigrocinctus*** (Honduras)
	Naja atra ***Naja kaouthia*** ***Naja mandalayensis*** ***Naja naja*** ***Naja oxiana*** ***Naja philippinensis*** ***Naja sagittifera*** ***Naja samarensis*** ***Naja siamensis*** ***Naja sputatrix*** ***Naja sumatrana*** ***Ophiophagus hannah***	
Viperidae Vipers		
		Crotalus durissus (Honduras) ***Daboia russelii*** (India)
Vipera ursinii (Only the population of Europe, except the area which formerly constituted the Union of Soviet Socialist Republics; these latter populations are not included in the Appendices)		
	Vipera wagneri	
CLASS AMPHIBIA (AMPHIBIANS)		
ANURA		
Bufonidae Toads		
Altiphrynoides* spp.** ***Atelopus zeteki ***Bufo periglenes*** ***Bufo superciliaris*** ***Nectophrynoides* spp.** ***Nimbaphrynoides* spp.**		

Appendices		
I	II	III
***Spinophrynoides* spp.**		
Dendrobatidae Poison frogs		
	***Dendrobates* spp.** ***Epipedobates* spp.** ***Minyobates* spp.** ***Phyllobates* spp.**	
Mantellidae Mantellas		
	***Mantella* spp.**	
Microhylidae Red rain frog, tomato frog		
Dyscophus antongilii	***Scaphiophryne gottlebei***	
Myobatrachidae Gastric-brooding frogs		
	***Rheobatrachus* spp.**	
Ranidae Frogs		
	Euphlyctis hexadactylus ***Hoplobatrachus tigerinus***	
CAUDATA		
Ambystomidae Axolotls		
	Ambystoma dumerilii ***Ambystoma mexicanum***	
Cryptobranchidae Giant salamanders		
***Andrias* spp.**		
CLASS ELASMOBRANCHII (SHARKS)		
ORECTOLOBIFORMES		
Rhincodontidae Whale shark		
	Rhincodon typus	
LAMNIFORMES		
Lamnidae Great white shark		
	Carcharodon carcharias	
Cetorhinidae Basking shark		
	Cetorhinus maximus	
CLASS ACTINOPTERYGII (FISH)		
ACIPENSERIFORMES Paddlefish, sturgeons		
	ACIPENSERIFORMES spp. (Except the species included in Appendix I)	
Acipenseridae Sturgeons		
Acipenser brevirostrum ***Acipenser sturio***		
OSTEOGLOSSIFORMES		
Osteoglossidae Arapaima, bonytongue		
Scleropages formosus	***Arapaima gigas***	

Appendices		
I	**II**	**III**
CYPRINIFORMES		
Cyprinidae Blind carps, plaeesok		
	Caecobarbus geertsi	
Probarbus jullieni		
Catostomidae Cui-ui		
Chasmistes cujus		
SILURIFORMES		
Pangasiidae Pangasid catfish		
Pangasianodon gigas		
SYNGNATHIFORMES		
Syngnathidae Pipefishes, seahorses		
	***Hippocampus* spp.**	
PERCIFORMES		
Labridae Wrasses		
	Cheilinus undulatus	
Sciaenidae Totoaba		
Totoaba macdonaldi		
CLASS SARCOPTERYGII (LUNGFISHES)		
COELACANTHIFORMES		
Latimeriidae Coelacanths		
***Latimeria* spp.**		
CERATODONTIFORMES		
Ceratodontidae Australian lungfish		
	Neoceratodus forsteri	
P H Y L U M E C H I N O D E R M A T A **CLASS HOLOTHUROIDEA (SEA CUCUMBERS)**		
ASPIDOCHIROTIDA		
Stichopodidae Sea cucumbers		
		Isostichopus fuscus (Ecuador)
P H Y L U M A R T H R O P O D A **CLASS ARACHNIDA (SPIDERS)**		
SCORPIONES		
Scorpionidae Scorpions		
	Pandinus dictator ***Pandinus gambiensis*** ***Pandinus imperator***	
ARANEAE		
Theraphosidae Red-kneed tarantulas, tarantulas		
	Aphonopelma albiceps ***Aphonopelma pallidum***	

Appendices		
I	**II**	**III**
	***Brachypelma* spp.**	
	CLASS INSECTA (INSECTS)	
COLEOPTERA		
Lucanidae Cape stag beetles		
		***Colophon* spp.** (South Africa)
LEPIDOPTERA		
Papilionidae Birdwing butterflies, swallowtail butterflies		
Ornithoptera alexandrae ***Papilio chikae*** ***Papilio homerus*** ***Papilio hospiton***	***Atrophaneura jophon*** ***Atrophaneura pandiyana*** ***Bhutanitis* spp.** ***Ornithoptera* spp.** (Except the species included in Appendix I) ***Parnassius apollo*** ***Teinopalpus* spp.** ***Trogonoptera* spp.** ***Troides* spp.**	
	PHYLUM ANNELIDA **CLASS HIRUDINOIDEA (LEECHES)**	
ARHYNCHOBDELLIDA		
Hirudinidae Medicinal leech		
	Hirudo medicinalis	
	PHYLUM MOLLUSCA **CLASS BIVALVIA (CLAMS, MUSSELS)**	
VENERIDA		
Tridacnidae Giant clams		
	Tridacnidae spp.	
UNIONIDA		
Unionidae Freshwater mussels, pearly mussels		
Conradilla caelata ***Dromus dromas*** ***Epioblasma curtisi*** ***Epioblasma florentina*** ***Epioblasma sampsoni*** ***Epioblasma sulcata perobliqua*** ***Epioblasma torulosa gubernaculum***	***Cyprogenia aberti***	

Appendices		
I	**II**	**III**
	Epioblasma torulosa rangiana	
Epioblasma torulosa torulosa		
Epioblasma turgidula		
Epioblasma walkeri		
Fusconaia cuneolus		
Fusconaia edgariana		
Lampsilis higginsii		
Lampsilis orbiculata orbiculata		
Lampsilis satur		
Lampsilis virescens		
Plethobasus cicatricosus		
Plethobasus cooperianus		
	Pleurobema clava	
Pleurobema plenum		
Potamilus capax		
Quadrula intermedia		
Quadrula sparsa		
Toxolasma cylindrella		
Unio nickliniana		
Unio tampicoensis tecomatensis		
Villosa trabalis		
MYTILOIDA		
Mytilidae Marine mussels		
	Lithophaga lithophaga	
CLASS GASTROPODA (SNAILS AND CONCHES)		
STYLOMMATOPHORA		
Achatinellidae Agate snails, oahu tree snails		
***Achatinella* spp.**		
Camaenidae Green tree snail		
	Papustyla pulcherrima	
MESOGASTROPODA		
Strombidae Queen conch		
	Strombus gigas	
P H Y L U M C N I D A R I A **CLASS ANTHOZOA (CORALS, SEA ANEMONES)**		
HELIOPORACEA Blue corals		
	Helioporidae spp. (Includes only the species *Heliopora coerulea*. Fossils are not subject to the provisions of the Convention)	

Appendices		
I	**II**	**III**
STOLONIFERA		
Tubiporidae Organ-pipe corals		
	Tubiporidae spp. (Fossils are not subject to the provisions of the Convention)	
ANTIPATHARIA Black corals		
	ANTIPATHARIA spp.	
SCLERACTINIA Stony corals		
	SCLERACTINIA spp. (Fossils are not subject to the provisions of the Convention)	
CLASS HYDROZOA (SEA FERNS, FIRE CORALS, STINGING MEDUSAE)		
MILLEPORINA		
Milleporidae Fire corals		
	Milleporidae spp. (Fossils are not subject to the provisions of the Convention)	
STYLASTERINA		
Stylasteridae Lace corals		
	Stylasteridae spp. (Fossils are not subject to the provisions of the Convention)	

Appendices		
I	**II**	**III**
F L O R A (PLANTS)		
AGAVACEAE Agaves		
Agave arizonica ***Agave parviflora*** ***Nolina interrata***	***Agave victoriae-reginae*** #1	
AMARYLLIDACEAE Snowdrops, sternbergias		
	***Galanthus* spp.** #1 ***Sternbergia* spp.** #1	
APOCYNACEAE Elephant trunks, hoodias		
Pachypodium ambongense ***Pachypodium baronii*** ***Pachypodium decaryi***	***Hoodia* spp.** #9 ***Pachypodium* spp.** #1 (Except the species included in Appendix I) ***Rauvolfia serpentina*** #2	
ARALIACEAE Ginseng		
	Panax ginseng #3 (Only the population of the Russian Federation; no other population is included in the Appendices) ***Panax quinquefolius*** #3	
ARAUCARIACEAE Monkey-puzzle tree		
Araucaria araucana		
BERBERIDACEAE May-apple		
	Podophyllum hexandrum #2	
BROMELIACEAE Air plants, bromelias		
	Tillandsia harrisii #1 ***Tillandsia kammii*** #1 ***Tillandsia kautskyi*** #1 ***Tillandsia mauryana*** #1 ***Tillandsia sprengeliana*** #1 ***Tillandsia sucrei*** #1 ***Tillandsia xerographica*** #1	
CACTACEAE Cacti		
	CACTACEAE spp.[7] #4 (Except the species included in Appendix I)	

[7] Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of the Convention:
- *Hatiora* x *graeseri*
- *Schlumbergera* x *buckleyi*
- *Schlumbergera russelliana* x *Schlumbergera truncata*
- *Schlumbergera orssichiana* x *Schlumbergera truncata*
- *Schlumbergera opuntioides* x *Schlumbergera truncata*
- *Schlumbergera truncata* (cultivars)
- Cactaceae spp. colour mutants lacking chlorophyll, grafted on the following grafting stocks: *Harrisia* 'Jusbertii', *Hylocereus trigonus* or *Hylocereus undatus*

Appendices		
I	**II**	**III**
Ariocarpus* spp.** ***Astrophytum asterias ***Aztekium ritteri*** ***Coryphantha werdermannii*** ***Discocactus* spp.** ***Echinocereus ferreirianus* ssp. *lindsayi*** ***Echinocereus schmollii*** ***Escobaria minima*** ***Escobaria sneedii*** ***Mammillaria pectinifera*** ***Mammillaria solisioides*** ***Melocactus conoideus*** ***Melocactus deinacanthus*** ***Melocactus glaucescens*** ***Melocactus paucispinus*** ***Obregonia denegrii*** ***Pachycereus militaris*** ***Pediocactus bradyi*** ***Pediocactus knowltonii*** ***Pediocactus paradinei*** ***Pediocactus peeblesianus*** ***Pediocactus sileri*** ***Pelecyphora* spp.** ***Sclerocactus brevihamatus* ssp. *tobuschii*** ***Sclerocactus erectocentrus*** ***Sclerocactus glaucus*** ***Sclerocactus mariposensis*** ***Sclerocactus mesae-verdae*** ***Sclerocactus nyensis*** ***Sclerocactus papyracanthus*** ***Sclerocactus pubispinus*** ***Sclerocactus wrightiae*** ***Strombocactus* spp.** ***Turbinicarpus* spp.** ***Uebelmannia* spp.**		
CARYOCARACEAE Ajo		
	Caryocar costaricense #1	
COMPOSITAE (Asteraceae) Kuth		
Saussurea costus		
CRASSULACEAE Dudleyas		
	Dudleya stolonifera ***Dudleya traskiae***	
CUPRESSACEAE Alerce, cypresses		
Fitzroya cupressoides ***Pilgerodendron uviferum***		

– *Opuntia microdasys* (cultivars).

Appendices		
I	**II**	**III**
CYATHEACEAE Tree-ferns		
	***Cyathea* spp.** #1	
CYCADACEAE Cycads		
Cycas beddomei	**CYCADACEAE spp.** #1	
DIAPENSIACEAE Oconee-bells		
	Shortia galacifolia #1	
DICKSONIACEAE Tree-ferns		
	Cibotium barometz #1 ***Dicksonia* spp.** #1 (Only the populations of the Americas; no other population is included in the Appendices)	
DIDIEREACEAE Alluaudias, didiereas		
	DIDIEREACEAE spp. #1	
DIOSCOREACEAE Elephant's foot, kniss		
	Dioscorea deltoidea #1	
DROSERACEAE Venus' flytrap		
	Dionaea muscipula #1	
EUPHORBIACEAE Spurges		
Euphorbia ambovombensis ***Euphorbia capsaintemariensis*** ***Euphorbia cremersii*** (Includes the *forma viridifolia* and the var. *rakotozafyi*) ***Euphorbia cylindrifolia*** (Includes the spp. *tuberifera*)	***Euphorbia* spp.** #1 (Succulent species only except the species included in Appendix I. Artificially propagated specimens of cultivars of *Euphorbia trigona*, artificially propagated specimens of crested, fan-shaped or colour mutants of *Euphorbia lactea*, when grafted on artificially propagated root stock of *Euphorbia neriifolia*, and artificially propagated specimens of cultivars of *Euphorbia* 'Milii' when they are traded in shipments of 100 or more plants and readily recognizable as artificially propagated specimens, are not subject to the provisions of the Convention)	

Appendices		
I	II	III
Euphorbia decaryi (Includes the vars. *ampanihyenis, robinsonii* and *spirosticha*) ***Euphorbia francoisii*** ***Euphorbia moratii*** (Includes the vars. *antsingiensis*, *bemarahensis* and *multiflora*) ***Euphorbia parvicyathophora*** ***Euphorbia quartziticola*** ***Euphorbia tulearensis***		
FOUQUIERIACEAE Ocotillos		
Fouquieria fasciculata ***Fouquieria purpusii***	***Fouquieria columnaris*** #1	
GNETACEAE Gnetums		
		Gnetum montanum #1 (Nepal)
JUGLANDACEAE Gavilan		
	Oreomunnea pterocarpa #1	
LEGUMINOSAE (Fabaceae) Afrormosia, cristobal, rosewood, sandalwood		
Dalbergia nigra	***Pericopsis elata*** #5 ***Platymiscium pleiostachyum*** #1 ***Pterocarpus santalinus*** #7	***Dipteryx panamensis*** (Costa Rica)
LILIACEAE Aloes		
Aloe albida ***Aloe albiflora*** ***Aloe alfredii*** ***Aloe bakeri*** ***Aloe bellatula*** ***Aloe calcairophila*** ***Aloe compressa*** (Includes the vars. *rugosquamosa*, *schistophila* and *paucituberculata*) ***Aloe delphinensis*** ***Aloe descoingsii*** ***Aloe fragilis*** ***Aloe haworthioides*** (Includes the var. *aurantiaca*) ***Aloe helenae***	***Aloe* spp.** #1 (Except the species included in Appendix I. Also excludes *Aloe vera*, also referenced as *Aloe barbadensis* which is not included in the Appendices)	

Appendices		
I	**II**	**III**
Aloe laeta (Includes the var. *maniaensis*) ***Aloe parallelifolia*** ***Aloe parvula*** ***Aloe pillansii*** ***Aloe polyphylla*** ***Aloe rauhii*** ***Aloe suzannae*** ***Aloe versicolor*** ***Aloe vossii***		
MAGNOLIACEAE Magnolia		
		Magnolia liliifera* var. *obovata #1 (Nepal)
MELIACEAE Mahoganies, Spanish cedar		
	Swietenia humilis #1 ***Swietenia macrophylla*** #6 (Populations of the Neotropics) ***Swietenia mahagoni*** #5	***Cedrela odorata*** #5 [Population of Colombia (Colombia) Population of Peru (Peru)]
NEPENTHACEAE Pitcher-plants (Old World)		
Nepenthes khasiana ***Nepenthes rajah***	***Nepenthes* spp.** #1	
ORCHIDACEAE Orchids		
	ORCHIDACEAE spp.[8] #8 (Except the species included in Appendix I)	

[8] Artificially propagated specimens of hybrids of the genera *Cymbidium*, *Dendrobium*, *Phalaenopsis* and *Vanda* are not subject to the provisions of the Convention when:
1) the specimens are traded in shipments consisting of individual containers (i.e. cartons, boxes or crates) each containing 20 or more plants of the same hybrid;
2) the plants within each container can be readily recognized as artificially propagated specimens by exhibiting a high degree of uniformity and healthiness; and
3) the shipments are accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid.

Artificially propagated specimens of the following hybrids:
– *Cymbidium*: Interspecific hybrids within the genus and intergeneric hybrids
– *Dendrobium*: Interspecific hybrids within the genus known in horticulture as "*nobile*-types" and "*phalaenopsis*-types"
– *Phalaenopsis*: Interspecific hybrids within the genus and intergeneric hybrids
– *Vanda*: Interspecific hybrids within the genus and intergeneric hybrids

are not subject to the provisions of the Convention when:
1) they are traded in flowering state, i.e. with at least one open flower per specimen, with reflexed petals;
2) they are professionally processed for commercial retail sale, e.g. labelled with printed labels and packaged with printed packages;
3) they can be readily recognized as artificially propagated specimens by exhibiting a high degree of cleanliness, undamaged inflorescences, intact root systems and a general absence of damage or injury that could be attributable to plants originating in the wild;
4) the plants do not exhibit characteristics of wild origin, such as damage by insects or other animals, fungi or algae adhering to leaves, or mechanical damage to inflorescences, roots, leaves or other parts resulting from collection; and
5) the labels or packages indicate the trade name of the specimen, the country of artificial propagation or, in the case of international trade during the production process, the country where the specimen was labelled and packaged; and the labels or packages show a photograph of the flower, or demonstrate by other means the appropriate use of labels and packages in an easily verifiable way.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

Appendices		
I	**II**	**III**
(For all of the following Appendix-I species, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention) ***Aerangis ellisii*** ***Dendrobium cruentum*** ***Laelia jongheana*** ***Laelia lobata*** ***Paphiopedilum* spp.** ***Peristeria elata*** ***Phragmipedium* spp.** ***Renanthera imschootiana***		
OROBANCHACEAE Broomrape		
	Cistanche deserticola #1	
PALMAE (Arecaceae) Palms		
Chrysalidocarpus decipiens	***Beccariophoenix madagascariensis*** ***Lemurophoenix halleuxii*** ***Marojejya darianii*** ***Neodypsis decaryi*** #1 ***Ravenea louvelii*** ***Ravenea rivularis*** ***Satranala decussilvae*** ***Voanioala gerardii***	
PAPAVERACEAE Poppy		
		Meconopsis regia #1 (Nepal)
PINACEAE Guatemala fir		
Abies guatemalensis		
PODOCARPACEAE Podocarps		
Podocarpus parlatorei		***Podocarpus neriifolius*** #1 (Nepal)
PORTULACACEAE Lewisias, portulacas, purslanes		
	Anacampseros* spp.** #1 ***Avonia* spp.** #1 ***Lewisia serrata #1	
PRIMULACEAE Cyclamens		
	***Cyclamen* spp.**[9] #1	
PROTEACEAE Proteas		
	Orothamnus zeyheri #1 ***Protea odorata*** #1	

[9] Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of the Convention. However, the exemption does not apply to such specimens traded as dormant tubers.

Appendices		
I	**II**	**III**
RANUNCULACEAE Golden seals, yellow adonis, yellow root		
	Adonis vernalis #2 ***Hydrastis canadensis*** #3	
ROSACEAE African cherry, stinkwood		
	Prunus africana #1	
RUBIACEAE Ayuque		
Balmea stormiae		
SARRACENIACEAE Pitcher-plants (New World)		
Sarracenia oreophila ***Sarracenia rubra*** **ssp.** ***alabamensis*** ***Sarracenia rubra*** **ssp.** ***jonesii***	***Sarracenia*** **spp.** #1 (Except the species included in Appendix I)	
SCROPHULARIACEAE Kutki		
	Picrorhiza kurrooa #3 (Excludes *Picrorhiza scrophulariiflora)*	
STANGERIACEAE Stangerias		
Stangeria eriopus	***Bowenia*** **spp.** #1	
TAXACEAE Himalayan yew		
	Taxus chinensis and infraspecific taxa of this species[10] #10 ***Taxus cuspidata*** and infraspecific taxa of this species[10] #10 ***Taxus fuana*** and infraspecific taxa of this species[10] #10 ***Taxus sumatrana*** and infraspecific taxa of this species[10] #10 ***Taxus wallichiana*** #10	
TROCHODENDRACEAE (Tetracentraceae) Tetracentron		
		Tetracentron sinense #1 (Nepal)
THYMELAEACEAE (Aquilariaceae) Agarwood, ramin		
	Aquilaria **spp.** #1 ***Gonystylus*** **spp.** #1 ***Gyrinops*** **spp.** #1	
VALERIANACEAE Himalayan spikenard		
	Nardostachys grandiflora #3	
WELWITSCHIACEAE Welwitschia		
	Welwitschia mirabilis #1	

[10] Whole artificially propagated plants in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of the Convention.

Appendices		
I	**II**	**III**
ZAMIACEAE Cycads		
Ceratozamia* spp.** ***Chigua* spp.** ***Encephalartos* spp.** ***Microcycas calocoma	**ZAMIACEAE spp.** #1 (Except the species included in Appendix I)	
ZINGIBERACEAE Ginger lily		
	Hedychium philippinense #1	
ZYGOPHYLLACEAE Lignum-vitae		
	***Guaiacum* spp.** #2	